



Agenda

Mutual Fund Financial Oversight: What Every Director Needs to Know

September 21, 2010

The offices of KPMG LLP

2001 M Street NW

Washington, DC

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| 9:30 – 9:45 | Program Welcome |
| 9:45 – 11:00 | Directors' Guide to Financial Reporting and the Annual Audit
Presented by Ernst & Young LLP |
| 11:00 – 11:15 | Break |
| 11:15 – 12:30 | United States Federal Tax Compliance Considerations
Presented by KPMG LLP |
| 12:30 – 1:30 | Luncheon Address
Gene Gohlke , Associate Director for Investment Company and Adviser
Compliance in the SEC's Office of Compliance Inspections and Examination |
| 1:30 – 2:45 | Third Party Service Providers & Directors' Guide to Internal Controls
Presented by Deloitte & Touche LLP |
| 2:45 – 3:00 | Break |
| 3:00 – 4:15 | Governance and Enterprise Risk Management
Presented by PricewaterhouseCoopers LLP |
| 4:15 – 4:30 | Program Wrap-Up |